Precast, Modular Construction for Water Impoundment Infrastructure

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Abstract

Physical civil construction is the largest single component of new hydropower development cost, from 40%-90% of total capital cost depending on the project size (HRF/ORNL, 2014). Risk associated with civil-related schedule and corresponding budget overruns for new projects are significant, and can be due to weather and river flood events, contracting delays, major equipment lead-times, and other factors caused by the lengthy civil and equipment installation construction periods. Precast concrete modular structures offers the potential to reduce these delays and costs by moving traditional on-site construction tasks to an off-site, controlled precasting location. The civil structure is built with modules using reinforced precast concrete, and transported to site where the modules are joined together to form a monolithic structure. The benefits of precast concrete have been well-proven in many other construction industries, including commercial and residential, bridges, parking garages, hospitals and prisons. Several U.S. hydropower facilities have utilized precast elements in powerhouse construction. French Development Enterprises, LLC (FDE) has developed the "French Dam" – an infrastructure technology that applies precast modules to construct powerhouses, dams, weirs and diversion structures. This paper examines the application of precast concrete modules as an alternative to conventional construction when applied to dams and diversion structures.

I. The Need for Alternative Methods of Dam and Hydropower Construction

After experiencing strong growth for much of the 20th century, new hydropower construction in the U.S. has slowed dramatically over the past three decades. Between 1950 and 1970, hydropower generation increased by 175%, peaking with nearly 6 GW of new capacity installed in the mid-1970s. Since that peak, capacity additions have dropped, slowed by growing concern about the environmental impacts of large hydropower facilities, new regulations impacting licensing and permitting requirements, and declining energy cost for competing electric power sources. New installations of natural gas, wind, and solar have all surged over this same time period due to unprecedented cost reductions, government provided incentives, and expanding interest from institutional investors. Led by state Renewable Portfolio Standards (RPS), rock-bottom PPAs, and the greater use of sustainability metrics in corporate evaluation, large companies with household names – Google, Microsoft, and Walmart – are exploring new ways to procure electricity directly from renewable power plants. Yet hydropower, the renewable with the longest asset life, lowest O&M cost, and inherent generation flexibility continues to miss out on this surge. Hydropower is at a disadvantage due to higher capital costs than other competing sources of energy, and risks related to new project regulatory complexity and delays.. For hydropower to compete as a viable energy source, new methods of design and construction are needed to significantly reduce cost and risk.

At the same time, our nation's dam infrastructure is eroding due to aging. The average age of the existing 90,580 recorded dams in the U.S. is 58 years (ASCE, 2017). According to MIT and the U.S Society on Dams, an average dam is engineered for a service life (without life extension) for 50 years after time of

construction (MIT, 2012.) This infrastructure preserves and enables critical economic functions, including renewable power, clean drinking water, wastewater treatment, flood protection, power plant cooling, agriculture, housing and recreational opportunities around our manmade lakes and reservoirs, and other vital uses that support our current standard of living. The confluence of aging dam infrastructure, inadequate safety inspections, lack of funding for repair and life extension, and more extreme weather patterns have created a "perfect storm" for our nation's water infrastructure, and adverse results have started to be seen. In October 2015, South Carolina experienced 20+ inches of rainfall over a 120 hour period. The event caused 51 dams to fail across the state, many in the Columbia suburbs area in the Gill Creek Watershed (Fretwell, 2016). Almost exactly one year later, Hurricane Matthew swept through South Carolina and destroyed another 25 dams. This devastation has put significant strain on the state budget, which is now seeking approximately \$1B in federal funding to assist with the reconstruction efforts (Trump, 2016).





Figure 1 - SC Rainfall Totals

Photo 1 - Lake Elizabeth Dam Failure, SC (October 7, 2015, credit: Jacob Haskew, WBIR News)

Changing precipitation patterns have also impacted the design criteria of dams, reservoir and critical storm prediction and flood routing. Warmer air holds precipitation longer than cool air, leading to more intense storms when they finally release¹. "Very heavy precipitation events," defined as the strongest 1% of rain events, have increased by 67% in the Northeast United States and 31% in the Midwest, over the past 50 years (Union of Concerned Scientists). As these floods become more frequent and intense, they pose an increased risk to dam and levy safety. Flooding (overtopping) is the #1 cause of dam failure in the U.S., at over 70% (Stanford). As HDR observes in its evaluation of failed dams in the Gills Creek Watershed in SC: "It is important to note that the distribution of precipitation frequency at the time of establishment of design criteria changes with additional hydrologic record (e.g. the statistical 100-year precipitation event determined in 1970 based on available precipitation records would be expected to be different compared to the current 100-year precipitation event based on frequency analysis of additional 45 years of record through 2015.)" (HDR, 2016) In other words, a structure that was designed to withstand a 100-year event in 1970 may now be inadequately constructed to survive when such an event occurs more frequently and with greater intensity.

Nearly all of the dams which failed in South Carolina were privately-owned, placing them under the regulation of state dam safety offices. These agencies are chronically understaffed and underfunded. As the number of dams exceeding their design life has increased, state dam safety budgets have not. The

¹ In addition, in longer river systems, upstream ice melts earlier than usual, creating unexpected flow events.

average state budget for dam-safety is less than \$1M (ASDSO, 2015.) Private dam owners include homeowner's associations, private citizens, and lake management groups, many of whom inherited a dam with the property and are now responsible for operation and maintenance. Without an ability to monetize the structure, such as with hydropower generation or municipal water supply rates, the owner may not have the revenue streams to finance the rehabilitation required. The dams are left in a state of disrepair and requests by dam safety offices are ignored or delayed, leaving the infrastructure more vulnerable to extreme precipitation and runoff events. The cost for reconstruction or repair is challenging to justify without the means to monetize the benefits of the structure.

New and innovative construction methods are required that can reduce capital cost to both re-build our nation's water impoundment infrastructure and for new hydropower development. Fortunately, decades of innovation and improvement in civil construction materials and processes have occurred since these original structures were placed into service 50+ years ago. Precast concrete has emerged as a construction method with many advantages for rapidly deployable and durable structures, including bridges, hospitals, parking garages, prisons, etc. Building the structure in segments offsite limits dependency on external site factors that cause unforeseen project delays and cost increases. In-house manufacturing allows for the highest level of product quality and batch consistency, allowing optimized configurations through multiple segments. This entire process reduces projects cost and project risks. The ability to separate the manufacturing from installation allows for scheduling flexibility and better control of project schedule. Segments are transported to site when conditions permit, allowing work to be completed in tighter weather windows.

The French Modular Impoundment, or "French Dam," is a precast concrete system that allows for offsite manufacture and rapid assembly, and removal or connection to existing civil structures resulting in a lower project cost, reduction in construction time and higher quality materials. The system includes basic standardized modular precast components which constitute the majority of the dam/hydro structures minimizing custom site-specific components. Modules such as overflow spillway, non-flow gravity, powerhouse, and turbine are standardized. Depending on the site geometry, some elements require customization including abutments and foundations. The multiple precast segments are interconnected through bolt linkage, key way configurations and are designed to use underpinning rock anchors or drilling pile support systems to link the segments to the riverbed². The French Dam design allows for independent replacement of any of the modular elements, or removal of the entire structure, if necessary. Each system is based on site-specific hydrological, in-situ geology and soils, and physical conditions, and utilizing the precast technology is customized to many different configurations, lengths, widths and heights. The placement of the segments is accomplished by standard construction methods, utilizing standard construction equipment.

In 2015, the French Dam was selected in a competitive solicitation from the U.S. Department of Energy entitled: "Research and Development of Innovative Technologies for Low Impact Hydropower Development." The FDE team included a consortium of companies involved in civil design and construction. This \$1.6M award provided seed funding to: (1) construct a prototype dam using precast concrete modules, and (2) conduct a full-scale design and cost analysis for a 105-foot span dam that was previously built using cast-in-place concrete to quantify the cost and schedule impact of substituting

 $^{^{2}}$ Due to expected variation in foundation soils and bedrock, geotechnical assessment and customized approach is needed at this stage.

precast elements for traditional construction methods. The FDE team constructed a custom test tank in North Billerica, MA to simulate a reservoir and test the dam for permeability over a 4-week period. Six precast modules were fabricated in an off-site location, transported to site, and assembled in the tank. The full-scale design and cost analysis was completed in early 2017, and results indicate significant cost and time-savings through the application of precast modular construction to overflow and non-overflow structures. The results of the prototype test and subsequent full-scale design effort are summarized in this paper.

II. Prototype Design, Manufacture and Test

The design process included FDE, Oldcastle Precast, GEI Consultants, and Hydro Consulting Specialists and resulted in multiple iterations from January – June 2016. The final design featured six prototype modules designed as open-top cubes measuring 8 ft x 8 ft (2.4 m x 2.4 m) in plan and 7.67 ft (2.3 m) in height (Fig. 1), with 8 in. (203 mm) thick reinforced concrete walls constructed using a 6000 psi (41.4 MPa) concrete. This decision was driven by (1) existing forms availability at the Oldcastle Precast plant, (2) ability to transport modules efficiently given transportation load restrictions in New England, and (3) desire to demonstrate a French Dam at an appropriate scale.



Photo 2 - Lower-tier module



Photo 4 – Welded embedments for anchoring structure to base slab







Photo 5 – Bolts installed in couplings prior to concrete placement

Each module weighed about 27,000 lb (12,250 kg), low enough to allow the modules to be handled and shipped using conventional equipment and methods. After delivery to the project site on a flatbed trailer, modules were stored on timber blocks until they were assembled in the reinforced concrete test tank. The test tank was constructed of cast-in-place 4000 psi (27.6 MPa) concrete. The tank's base slab measured 28 ft x 30 ft (8.5 m x 9.1 m) in plan and 18 in. (457 mm) in thickness. Three 12 in. (305 mm) thick walls

cantilevered from the base slab. The upstream wall was 17 ft (5.2 m) tall, and two sidewalls stepped down from 17 ft to 14 ft (4.3 m) downstream of the dam (Fig. 3). The slab contains two embedments designed to provide anchor points for the modules. The embedments comprised welded assemblies of anchor plates, channels, round stock, and internally threaded couplers (Fig. 4). Modules were positioned in the tank using a 130 ton (118 tonne) crane. Each of the three bottom blocks were anchored to the base slab with four 1-1/4 in. (32 mm) diameter bolts threaded into the couplers on the embedments in the base slab. At each horizontal or vertical interface, modules were interconnected using four 1 in. (25 mm) diameter bolts to form a monolithic structure.

Joints between modules and between the tank slab and the modules were sealed using preformed rubber and paste-type hydrophilic waterstops installed in reveals formed in the modules (Fig. 5). Adeka KM-3030, a 30 x 30 mm (1.2 x 1.2 in.) preformed rubber strip, was used as the primary waterstop, and Sikaflex-1a, a paste applied with a caulking gun, was used as a secondary waterstop. To complete the test assembly, two abutments fabricated of steel angle, plywood, and rubber were placed between the end modules of the prototype dam and the tank walls at the upstream edge of the end modules (Fig. 6). Sikaflex quick reacting caulking was later applied to the bulkheads to provide additional waterstop protection. The installation was completed in 3.5 hours in a heavy rainstorm—conditions that would render conventional cast-in-place construction impossible.



Photo 6 - Expansive waterstops used to seal joints



Photo 7 - Fully assembled French Dam #1



Photo 8 - Tank filled with approximately 30,000 gal Photo 9 - Fully-assembled dam with full reservoir of water for up to 12 ft head



To test the permeability of the French Dam prototype, the reservoir created behind the modules was filled up to a 12 ft (3.7 m) head using 30,000 gal. (113,562 L) of water. Water elevation and leakage was assessed over a period of 4 weeks by project staff, GEI Consultants, and an independent consultant from Knight Piesold and Co. Measurements were obtained by painting 1 foot increments on the inside of the

tank, and by a manometer located outside the tank. Initial fill occurred on October 12, 2016. After some leakage was observed at the abutment bulkhead panels that connected the segments to the tank walls, the abutment bulkhead panels were rebuilt, reinforced and a second fill was performed on October 21, 2016. No leakage was observed between the modules, although a drip of water every 3-4 seconds was observed through the bulkheads. (The bulkheads panels were constructed out of wood and reinforcement to fill the voids created by the difference between the modules length and the tank walls. In a "real world" application, these bulkheads would be site-specific and designed to meet site requirements.) Critically, the precast concrete modules met the desired hydraulic integrity, and successfully met the desired target. The water pressure test successfully demonstrated that precast concrete modules met the desired a suitable dam structure for a wide variety of commercial applications.

III. Full-Scale Design

In addition to the prototype test, the FDE team completed a full-scale design and stability analysis of a hypothetical French Dam implementation as a substitute for a previously constructed Cast-in-Place dam built in Rhode Island in 2002. The objective of this effort was to compare the impact to cost and schedule of substituting precast, modular construction for conventional, cast-in-place methods.

First, our team developed a matrix of the criteria necessary for collecting data and selecting appropriate candidates, including (but not limited to): year constructed, dam dimensions, primary purpose, type/material, project cost, schedule/cost availability, etc. Our team then identified a list of 16 dams and collected data as available from public and private sources, including the National Inventory of Dams and GEI's personal records of past projects. Most projects were eliminated outright due to the height or complexity of the dam, due to the DOE requirement that scalability is demonstrated in heads from 10-50 feet. Ultimately, the decision was made to use an unnamed dam in Rhode Island, a relatively simple CIP spillway approximately 105 feet long and 12 feet high. The structure was reconstructed after the previous timber-crib structure had breached in 1991. The dam as constructed included a rock-bolted foundation, low level outlet control structure, graded filter drain at dam toe; riprap scour protection; stream diversion; cofferdams; fish ladder considerations and abutment wall stabilization. The reconstruction of the CIP dam took 172 days, starting on August 13, 2001 and finished on April 9, 2002.



Photo 10 - Unnamed CIP dam, Rhode Island

The French Dam was modified from the original design, consistent with the goals of the project. The goal was to substitute the Precast French Dam technology for as much of the cast-in-place dam as possible. With a design height similar to the prototype test, GEI designed the French Dam with similar module sizes and arrangement. The French Dam height of 15.5 feet was based on a crest elevation of 93.5 feet and a bedrock elevation of 78 feet, slightly higher than the original constructed dam. The French Dam design layout consisted of two rows of 13 precast concrete modules (26 modules total), and 2-inch-diameter rock anchors spaced at 4 feet with 20 foot rock embedment. Each module was 8 ft. x 8 ft. in plan, and 7.2 feet tall. A lean concrete mat and granite stone cap (to match the existing look) are included to complete the dam height³.

GEI performed a stability analysis for 3 conditions (normal operating conditions, 100-year flood discharge, and normal operation with earthquake4.) The stability analysis includes the clamping action of the rock anchor to resist both sliding and overturning loads. Each load case was evaluated to determine the factor of safety against sliding, eccentricity, foundation bearing pressures, and concrete stress levels.

| Load Case | Headwater El. | Tailwater El. | Top of Fill El. | Top of Bedrock |
|--------------------|---------------|---------------|-----------------|----------------|
| | (ft) | (ft) | (ft) | El. (ft) |
| 1. Usual Loading | 93.5 | 82.0 | 93.5 | 78.0 |
| 2. Unusual Loading | 97.7 | 88.9 | 93.5 | 78.0 |
| 3. Extreme Loading | 93.5 | 82.0 | 93.5 | 78.0 |

Table 1 - Stability Design Parameters

| Load Case | Resultant | Minimum | Foundation | Concrete Stress |
|--------------------|-------------|---------|----------------|-----------------|
| | Located at | Sliding | Bearing | Compression |
| | Base | FS | Pressure | |
| 1. Usual Loading | Middle 1/3 | 2 | Allowable | 0.3 f'c |
| 2. Unusual Loading | Middle 1/2 | 1.7 | Allowable | 0.5 f'c |
| 3. Extreme Loading | Within Base | 1.3 | 1.33 Allowable | 0.9 f'c |

Table 2 - Stability Design Criteria

Based on the above criteria the maximum anchor loads were determined to be 20.6 kips per lineal foot (klf) during the unusual load case (Load Case 2). GEI based the design of the rock anchor bar size and bond lengths on PTI Recommendations for Prestressed Rock and Soil Anchors. Spacing the rock anchors at 4 feet on-center, to accommodate two anchor per module, the maximum design load of a single anchor is 82.4 kips (Load Case 2). Single anchors and group failures were looked at for cone failure. We evaluate failure of the rock upward from the anchor head at a 45-degree angle. Based on the analysis there is a factor of safety of greater than 7 against cone failure for a single anchor and a factor of safety of greater than 4 against cone failure for a group of anchors.

GEI designed the bolted connection between the top and bottom precast modules and the bolted connection between precast modules adjacent to each other horizontally. The bolted connection between

³ The design calculations for the precast are included in the final report to DOE and available on OSTI website.

⁴ GEI's design assumed full fill to the top of the dam, full water pressure, and dynamic effects from both fill and water. Dynamic soil loads were determined using Mononobe-Okabe equations from USACE, 1989. Hydrodynamic loads were determined using Westergaard's formula (USACE, 1989). GEI conservatively ignored hydrodynamic effects of the tailwater and passive resistance at the toe.

the top and bottom precast modules (four bolts, one at each corner 9-inches from the edge of concrete) where designed based on the stability analysis above. GEI designed the bolts for tension, shear, and combined tension and shear using the load factors from ASCE Strength Design for Reinforced Hydraulic Structures. The bolted connection between the precast modules (four bolts per module) were designed to resist the shear force created during a seismic event. The load factors from ASCE Strength Design for Reinforced Hydraulic Structures were used.

We considered two scenarios to estimate the impact to construction schedule. For Scenario #1, the anchor bolt and dam footing dates were fixed to the start dates used in the actual reconstruction schedule for the unnamed Dam. Under this scenario, the French precast reconstruction of unnamed Dam is estimated to take 118 days, starting on August 13, 2001 and finished on January 23, 2002. The Surface Water Control and Diversion (Cofferdams) is estimated to be in place for 88 days, from September 24, 2001 until January 23, 2002. The dam reconstruction including rock anchors, working pad, precast French Dam, granite capstone, and downstream riprap is estimated to take 54 days, stating October 16, 2001 and finished on January 2, 2002.

For Scenario #2, we permitted the anchor bolt and dam working pad start dates to move freely based on predecessor construction activities. For this schedule we have increased the rock anchors duration. In this Scenario, the French Dam reconstruction of unnamed Dam is estimated to take 88 days, starting on August 13, 2001 and finished on December 12, 2001. The Surface Water Control and Diversion (Cofferdams) is estimated to be in place for 58 days, from September 24, 2001 until December 12, 2001. The dam reconstruction including rock anchors, working pad, French Dam, granite capstone, and downstream riprap is estimated to take 34 days, stating October 16, 2001 and finished on November 28, 2001.

Under both scenarios, the precast modular installation rate is based on the rate observed during the prototype installation, at 6 units in fewer than 4 hours or 12 units during an 8-hour shift. The French Dam would reduce the construction duration considerably. Conservatively, by fixing the start dates of the rock anchors and footing work of the French Dam to the CIP dam dates, the overall project schedule will be reduced by 31%, and a readjustment of the anchor bolt and dam working pad installation dates results in a reduction of 49%.

| | Unnamed Dam – Construction Schedule Comparison | | | | |
|---------------------|--|-------------------------|-------------|------|-------------|
| Activity | CIP Dam | Dam FRENCH Dates Locked | | | Dates Free |
| | Days | Days | % Reduction | Days | % Reduction |
| Cofferdams in River | 142 | 88 | 40% | 58 | 60% |
| Dam Reconstruction | 95 | 54 | 40% | 34 | 65% |
| Total Project | 172 | 118 | 30% | 88 | 50% |

Table 3 - Schedule Reduction due to French Dam Application

IV. Estimated Cost Savings of Precast vs. Cast in Place

To understand how cost savings can be achieved, GEI developed a generalized design tool to assess potential configurations and associated costs for a variety of water levels, from 10 to 50 feet of head. Only external forces were considered and assumed the precast units would act together rigidly so that the resulting structure acts as an anchored gravity structure. We analyzed the different configurations for stability against sliding and overturning, with a minimum factor of safety of 1.5. Starting at 16-feet high (3-units high), the precast modular dam was configured with two units at the crest, to provide an adequate crest width for vehicle access.

Constant exterior size was assumed for the precast units for simplicity, but variable sizes could be incorporated for different projects. Allowances were made for increasing wall thickness as the height of the structure increases from a minimum of 8-inches to a maximum of 18-inches. For cases where the reservoir was within 3-feet of the dam crest, a precast parapet wall was included to maintain freeboard. The dam was analyzed for reservoir loading with full uplift conditions, with seismic forces tied to the project test site (Billerica, MA). The seismic force was applied on a simplified basis, with a constant seismic coefficient applied to the entire mass of the structure. The seismic force was determined based on the height of the structure and the estimated corresponding approximate period on the design spectra taken from the USGS design maps website, using the 2010 ASCE 7 mapping for Billerica, MA. The maximum seismic force applied was 0.23g, and applied for structure heights less than 31 feet.

The external forces were resisted by the weight of the precast units, anchorage forces, tailwater forces, and mechanical connections between modules. A friction factor of 0.7 was applied, because the structure will be constructed atop a concrete mud mat. For simplicity we assumed a single anchor size, capacity, and cost for all configurations. This would be revised during design to fit any specific project. Our assumptions were a bar anchor installed to approximately 20-feet, with a nominal capacity of 60-kips each.

Figures 10, 11 and 12 below are snapshots of the generalized design tool output showing the configuration and conceptual cost estimate for reservoir heights of 22, 30, and 50 feet. Potential modular dam configurations resemble the shape of traditional cast-in-place structures. The main difference is that the structure is less dense, which is largely offset by the use of anchors to provide additional normal force at the base of the structure. For a given precast configuration, we estimated a comparative cost for a traditional cast-in-place gravity dam. The cost of the modular precast structure was estimated in the same way as described in the Unnamed Dam Rehabilitation Cost Estimate section above, with a few minor changes to generalize the design. Specifically, we assumed more anchors would be necessary in the generalized design, and we assumed a slightly larger standard unit size. To compare against a cast-in-place alternative, we estimated costs for 22, 30, and 50-foot high cast-in-place concrete gravity dams based on an assumed section geometry and unit costs. The 22-foot structure was the same as the Unnamed Dam, except that it was made slightly larger to accommodate freeboard, because the comparative costs are for non-overflow sections of the structure.





Figure 2 - Output of Generalized Design Tool for 22-foot Reservoir

Figure 3 - Output of Generalized Design Tool for 30-foot Reservoir



Figure 4 - Output of Generalized Design Tool for 50-foot Reservoir

| 30' Cast in Place Concrete Dan | n | Assumed Section |
|--------------------------------|----------------|-----------------|
| Assumptions | | 40 |
| Length | 100 LF | 30 |
| Section Area | 683 SF | 70 |
| Conc Vol / Unit Length | 25.3 CY/LF | 10 |
| Assumed Site Prep Time | 24 Crew Hours | |
| Crew Cost Per Hour | \$681 | |
| Site Prep Subtotal | \$16,345 | -10 |
| Concrete Volume | 2528 CY | -10 0 10 20 30 |
| CIP Concrete Total Unit Cost | \$1,250 per CY | |
| Concrete Subtotal (installed) | \$3,159,722 | |
| Parapet Cost Per Unit Length | \$111 per LF | |
| Parapet Subtotal (installed) | \$11,111 | |
| Subtotal | \$3,187,178 | _ |
| Project Overhead | 20% \$637,436 | |
| Total Price | \$3,824,614 | |
| Equivalent Unit Price | \$1,513 per CY | |

Figure 5 - Comparative Cost for 30' Cast-in-Place Concrete Gravity Dam

| 50' Cast In Place Concrete Dam | 6 | | Assumed Section |
|--------------------------------|---------------|----------------|---------------------|
| Assumptions | | | 60 |
| Length | | 100 LF | 50 |
| Section Area | | 1385 SF | 40 |
| Conc Vol / Unit Length | | 51.3 CY/LF | 30 |
| Assumed Site Prep Time | | 32 Crew Hours | 10 |
| Crew Cost Per Hour | | \$681 | ° |
| Site Prep Subtotal | | \$21,793 | -10 |
| Concrete Volume | | 5130 CY | -10 0 10 20 30 40 5 |
| CIP Concrete Total Unit Cost | | \$1,300 per CY | |
| Concrete Subtotal (installed) | | \$6,668,519 | |
| Parapet Cost Per Unit Length | | \$111 per LF | |
| Parapet Subtotal (installed) | | \$11,111 | - F7 |
| Subtotal | in the second | \$6,701,423 | _ |
| Project Overhead | 20% | \$1,340,285 | |
| Total Price | | \$8,041,708 | |
| Equivalent Unit Price | | \$1,568 per CY | |

Figure 6 - Comparative Cost for 50' Cast-in-Place Concrete Gravity Dam

At larger heights, the modular precast dam is expected to become much less expensive than a comparable cast-in-place concrete dam. This does not account for the other components of the dam such as the spillway and outlet works which can be a significant proportion of the total project cost, and which are traditionally incorporated into the structure of a cast-in-place dam.

| Dam Head | CIP Cost | Precast Cost | Est. Cost Savings |
|----------|-------------|--------------|-------------------|
| 22' | \$1,980,000 | \$900,000 | 55% |
| 30' | \$3,830,000 | \$1,500,000 | 60% |
| 50' | \$8,050,000 | \$4,600,000 | 40% |

V. Conclusion

New means and methods of construction are critical to reduce cost and construction time for completion of new dams and hydropower structures. Modular precast concrete is one approach that can be applied to standardize and apply repetition in dam segment construction, to seek reduced risk, cost reduction and schedule improvement goals. Although site-specific aspects will always be important, many elements of the primary structure can be constructed from precast concrete to enable precast concrete manufacturing, modularization and achieve economies of scale. The French Dam has precast solutions to reduce cost and schedule for dam rebuilding and new construction. Precast concrete modules can be designed to include hydro generation equipment, or water supply conduits, or assembled to fit unique project configurations. Conventional dams could be replaced by sectional precast concrete modular dams which can be built offsite and transported to multiple regional projects.

The FDE modules have broad application in both overflow and non-overflow structures, and for both new and retrofit/rehabilitation construction. The reduced on-site construction time brings significant benefits to the dam construction industry, by limiting schedule and cost overruns and reducing risk due to weather delays in the construction process. The primary result of the FDE prototype construction process and

corresponding technical evaluation report demonstrated that this new method of construction can improve the economics and reduce risk for this industry application.

Following the conclusion of a successful test, the FDE Team is now engaging a variety of public and private sector clients to develop the first commercial project. This includes dam owners, water infrastructure operators, hydroelectric plant developers and regulatory agencies responsible for dam safety. Several Northeast dam safety regulators have indicated a willingness to include precast concrete as an alternative in project specifications in RFIs/RFPs. The French Dam patent portfolio covers modular precast concrete as applied to new and rehabilitation of dams and existing impoundment structures, and this technology is currently available for licensing to engineering and construction firms and/or precast manufacturers.

The FDE prototype precast concrete modules has wide potential for applications, and with adaptation of its design to actual project sites, can be used not only as a non-overflow structure, but also as an overflow (spillway), outlet and intake hydraulic structure. Further adaptation of design can be expected based on actual application for a temporary cofferdam, repair of existing dams and hydraulic structures, and new dam and hydraulic structures. The modularization concept has the potential of wide application and can be customized to any low head dam site. It offers the advantage of high quality control of precast concrete, increased design standardization, and reduces on-site construction time. It also has the flexibility to be combined with other traditional construction and concreting means and methods.

For Further Reading: For a complete description of the French Dam prototype project, including full design calculations and engineering drawings, please see report available on DOE Office of Scientific and Technical Information entitled: *French Modular Impoundment*, Deliverable 6.4: Final Cost and Performance Evaluation, DOE Award: DE-EE0007244, Project Period: 12/01/15 – 12/31/16. For a copy of this report please contact: <u>peter.drown@cleantechanalytics.com</u>

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Norm Bishop, Jr., P.E. – Norm Bishop is a Senior Executive Project Engineer based in Knight Piésold's Denver office. He has over 40 years of experience in hydraulic, heavy civil, hydroelectric, pumped storage, water resources, solar, wind, biomass and biofuel, energy storage, and thermal projects. His innovative construction and engineering solutions have resulted in significant project cost and time savings on numerous projects. His expertise includes program and project management, planning, feasibility studies, site studies, environmental studies, permits and licensing, cost estimating, financial studies, project financing, engineering, procurement, construction, and commissioning. He has been involved in multiple national and international award-winning energy projects and has received the ASCE Rickey Medal in 1999.